

PRO SE PACKAGE

A SIMPLE GUIDE TO FILING A CIVIL ACTION



**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF PENNSYLVANIA**

SEPTEMBER 2009

The following instructions have been compiled to assist any person wishing to file a complaint in this court. We have attempted to simplify procedures; however, we cannot and will not act as lawyers nor give advice as counsel. We do not anticipate that these simple procedures will satisfy all needs, and the local rules of practice for the U.S. District Court are available for more specific guidance. You should use the local rules along with the Federal Rules of Civil Procedure. The Federal Rules are available at a public library, and our Local Rules are available in the Circuit Library, Room 512, U.S. Courthouse.

You believe that you have been injured or wronged by someone, some individual, corporation or government agency. You also believe you should be compensated for the harm you have suffered. In order to accomplish this, you have decided to file a civil suit in the United States District Court, without the help of an attorney.

FUNDAMENTAL INFORMATION

First, there are a few simple concepts you must get to know and understand.

The PLAINTIFF is the person who files the lawsuit.

The DEFENDANT is the person who is being sued.

If you are representing yourself without the benefit of an attorney, you are known as a PRO SE LITIGANT.

"Pro Se" is a Latin term meaning "for yourself."

As a pro se litigant, you enjoy every right entitled to you under the law. You need not worry if you have had little or no experience with the courts before. However, pro se litigants are expected to follow/abide by the rules that govern the practice of law in the Federal Courts. Pro Se Litigants should be familiar with the Federal Rules of Civil Procedure and the Local Rules of this court.

FEDERAL COURT vs STATE COURT

There is a difference between State Court and Federal Court. The difference being in what types of cases (law suits) they can decide. This is called JURISDICTION. State Court has general/broad jurisdiction, and Federal Court has limited/specific jurisdiction. Under Federal Court jurisdiction, the two main types of cases are Federal Question Cases and Diversity Cases.

FEDERAL QUESTION CASES: are cases where the issue involves violation of Federal Law

DIVERSITY CASES: are cases where the Plaintiff and Defendant are citizens of different states and the amount in controversy exceeds the sum or value of \$75,000, exclusive of interest and costs.

STEP ONE: WRITE YOUR COMPLAINT

All cases are comprised of documents prepared and filed by litigants. The most common documents are the complaint, answer and motions. The first document that you must write/file is called a COMPLAINT - (Attachment

1). The function of the COMPLAINT is to tell the Court and defendant the reason for filing the lawsuit and what relief you desire. The COMPLAINT is made up of four main parts:

1. The NAME AND ADDRESS of the plaintiff and the defendant. These are usually listed in the first and second paragraphs respectively. If there is more than one defendant, list each defendant's name and address in separate additional paragraphs.
2. The JURISDICTION or reason your case is being filed in this federal court. See 28 U.S.C. §1331 et seq.
3. The ALLEGATIONS or claims that you are making against the defendant. Place each allegation in a short, clearly-written paragraph. See Rule 10 Federal Rules of Civil Procedure.
4. The RELIEF you are seeking from the court. This can be money or something you want the judge to make the defendant do or stop doing. This information is usually written in the last paragraph of the COMPLAINT.

The complaint must also state the complete caption of the case listing all of the parties. Each party must be named in the caption. Please be sure to number each paragraph except for the paragraph that asks the court for relief. If you believe you are entitled to a trial by jury, you must indicate in a paragraph following the relief requested that you claim trial by jury. See Rule 38(b) of the Federal Rules of Civil Procedure.

Do not worry that your COMPLAINT is not professionally written. It should be typed if possible. The Court will take into consideration that you are a PRO SE litigant and untrained in drafting legal documents. You should, however, make every effort to state your case in clear, concise terms. See Rules 8 and 10 of the Federal Rules of Civil Procedure.

Forms for filing a petition under Title 28 U.S.C. §2254 for writ of habeas corpus by a person in state custody, a writ under Title 28 U.S.C. §2241 or a motion under Title 28 U.S.C. §2255 to vacate, set aside, or correct sentence by a person in federal custody are available from the PRO SE clerk in this office.

All pleadings submitted to this court must be on 8 1/2 x 11" paper. See Rule 5.1 of the Local Rules of this

Court.

STEP TWO: FILE YOUR COMPLAINT

Your next step is to file the COMPLAINT with the court. In addition to filing the COMPLAINT, the following forms will have to be completed and submitted with the complaint:

1. A Civil Cover Sheet - (Attachment 2)
2. **If you are making service by SUMMONS, a completed SUMMONS for each defendant - (Attachment 3)**
Please refer to Step 3 on service.
3. A \$350.00 filing fee (The filing fee for a petition for writ of habeas corpus is \$5.00)

The filing fee, however, may be waived if you cannot afford to pay it. For more information, see below. All check or money orders should be made payable to: "CLERK, UNITED STATES DISTRICT COURT".

IF YOU CANNOT AFFORD TO PAY THE FILING FEE you may be allowed to have the filing fee waived if you fill out an APPLICATION FOR LEAVE TO PROCEED IN FORMA PAUPERIS (Attachment 4) and send it to the court with the COMPLAINT, Cover Sheet, service copies for each defendant and, if appropriate, completed Summons forms. A Financial Affidavit is required to be completed so that the assigned judge can make a determination of your inability to pay the filing fee. (See Attachment 4)

When you file the COMPLAINT, Cover Sheet, (summons if applicable) and Application for Leave to Proceed in Forma Pauperis, each of these will be reviewed and forwarded to a United States District Court Judge for his or her consideration. If your Application is approved, the filing fee will be waived and your case will proceed. If your Application is **not** approved, you must pay the filing fee to have your case proceed. Ask the INTAKE clerk for more information on this subject.

NOTE: The granting of In Forma Pauperis does not relieve you of the costs associated with service of the complaint. You may seek authorization from the Court to obtain service of process without PREPAYMENT of the Marshal's fee by filing a motion.

STEP THREE: SERVICE OF PROCESS

Your next step is to SERVE (inform) each of the defendants that he or she is being sued. This may be done

in two ways:

1. NOTICE & REQUEST FOR WAIVER OF SERVICE

If you are suing the United States (and its agencies, corporations, or officers) or a state, local or foreign government, you CANNOT use this method and YOU MUST arrange for Service of Process (which is described below at number 2).

You may notify non-government defendant(s) of the commencement of the lawsuit by sending a "NOTICE OF LAWSUIT AND REQUEST FOR WAIVER OF SERVICE OF SUMMONS" - Form AO 398 (Attachment 5) and AO 399 (Attachment 6) along with a copy of the complaint by first-class mail or other reliable means. You must also include a copy of the Waiver of Service of Summons - AO 399 and a self addressed return envelope. See Rule 4(d) of the Federal Rules of Civil Procedure. If service is waived by the defendant the Waiver of Service form is returned to the plaintiff for filing with the court and the action shall proceed. If the defendant does not waive service, the plaintiff must proceed with service of process.

Also enclosed is the amendment to Rule 4 of the Federal Rules of Civil Procedure concerning service by mail. If you choose to serve by mail, this rule will help you in explaining what is required. Forms AO 398 and AO 399 are to be used in accordance with this rule.

2. SERVICE OF PROCESS

Making "service of process" involves serving a copy of the complaint to each of the defendants.

A SUMMONS is a writ used to notify the person named as the defendant of the commencement of the civil action and the requirement to appear and answer. The summons must contain the name of the court and the names of the parties, be directed to the defendant, state the name and address of the plaintiff's attorney, if any, otherwise the plaintiff's address and the time within which the rules require the defendant to answer the complaint.

If a defendant has not "waived service of summons" OR you are suing a government (federal, state, local, foreign) YOU MUST ARRANGE to have a copy of an original summons and a copy of the complaint served upon each defendant. If you are making service on a Social Security case, the Intake clerk can provide you with the current addresses for service.

You must complete a summons for each defendant and present each summons to the Clerk who will sign and place the Court seal on each summons. A copy of the summons must be served upon each defendant with a copy of the complaint. The original summons should be kept by the person making service.

You can make **service of process** by having a "disinterested" (non-party) person who is over the age of eighteen deliver copies of the SUMMONS and COMPLAINT to each of the defendants. When using this method of making service of process, have the server fill out the back of the original SUMMONS, and send it to the court. See Rule 4, Federal Rules of Civil Procedure.

NOTE: Service of the COMPLAINT on the defendant must be made within 120

days from the date the complaint was filed or the case will be subject to dismissal. See Rule 4(m), Federal Rules of Civil Procedure.

For those cases in which the Court has directed the US Marshal to make service, a summons should not be prepared because the U.S. Marshal will effectuate service pursuant to F.R.C.P. 4(d), unless the United States or its agencies or a state or local government are defendants then you MUST prepare a summons. In order for the Marshal to effectuate service on all defendants you must provide service copies for each defendant and complete a Marshal's 285 form for each defendant. In addition, you are responsible for the timely movement of your case once it is filed. Unless otherwise ordered by the court, you are not relieved of the costs associated with service by the United States Marshal.

THINGS YOU SHOULD KNOW ABOUT

The ANSWER is the formal written statement by the defendant responding to a complaint setting forth any defenses and objections to the claims by the plaintiff.

A MOTION is an application or request made to the court for the purpose of obtaining a ruling or order directing some act to be done in favor of the applicant. See Rule 7(b), Federal Rules of Civil Procedure and Local Civil Rule 7.

AFTER THE ANSWER

In most cases the Court will issue a SCHEDULE ORDER which sets forth a timetable with deadlines the litigants must follow.

The DISCOVERY PERIOD is the time frame allowed by the court for both plaintiff and defendant to discover facts, research the law, and gather evidence to be presented at trial to prove the litigant's position/case. The Discovery period always comes after the filing of the answer by the defendant, and before the first day of the trial.

COMPLAINT / ANSWER / DISCOVERY PERIOD / TRIAL

Whenever you file any document with the court, **you must always:**

1. Send a copy to each of the parties or their lawyers, who are involved in the case and indicate at the end of the document that you have done so in accordance with

Federal Rule of Civil Procedure 5.

2. Provide the correct civil action number of your case on the document.
3. Sign all documents you file with the court. Place the words "PRO SE" after your name. Place your address and telephone number on all documents. It is very important that you provide a telephone number in the event it is necessary to obtain further information or clarification, or advise you of any changes in hearing schedules. If you do not provide us with a contact phone number, the Court WILL NOT be responsible for untimely notification of emergency changes in hearing schedules.

The United States District Court Clerk's Office in Pittsburgh is located in Room 3110, United States Post Office and Courthouse, Seventh Avenue and Grant Street, Pittsburgh, PA 15219. Office hours are 8:30 a.m. to 4:30 p.m., Monday through Friday. If you require additional information, you may call the Civil Intake Section at (412) 208-7500.

If you are located in the Erie or Johnstown area, you may contact one of the divisional offices as indicated below:

United States District Court
U.S.P.O. & Courthouse
17 South Park Row
Room B-160
Erie, PA 16501
(814) 464-9600

United States District Court
Penn Traffic Building
Suite 208
319 Washington Street
Johnstown, PA 15901
(814) 533-4504

If you do not know an attorney, perhaps you should contact the Lawyer Referral Service of your local county Bar Association.

If you cannot afford an attorney, perhaps you should contact the Neighborhood Legal Services or Legal Aid in your area.

For additional information about the federal courts, you may wish to refer to the following publications:

Inside the Federal Courts
<http://www.fjc.gov/federal/courts.nsf/>

Welcome to the Federal Courts
[http://www.fjc.gov/public/pdf.nsf/lookup/WelFedCt.pdf/\\$file/WelFedCt.pdf](http://www.fjc.gov/public/pdf.nsf/lookup/WelFedCt.pdf/$file/WelFedCt.pdf)

Federal Courts and What they Do
[http://www.fjc.gov/public/pdf.nsf/lookup/WelFedCt.pdf/\\$file/WelFedCt.pdf](http://www.fjc.gov/public/pdf.nsf/lookup/WelFedCt.pdf/$file/WelFedCt.pdf)

Understanding the Federal Courts
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