

**IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF PENNSYLVANIA**

**IN RE: PHILIPS RECALLED CPAP, BI-  
LEVEL PAP, AND MECHANICAL  
VENTILATOR PRODUCTS  
LIABILITY LITIGATION**

**Master Docket: Misc. No. 21-01230**

**MDL NO. 3014**

**This Document Relates To:**  
*All Actions*

**Order Implementing Federal Rule of Evidence 502(d)**

**1. No Waiver by Disclosure.** This Order is entered pursuant to Rule 502(d) of the Federal Rules of Evidence. Subject to the provisions of this Order, if a party (the “Producing Party”) discloses information in connection with the pending litigation that the Producing Party thereafter claims to be protected by the attorney-client privilege and/or work product protection (“Protected Information”), the disclosure of that Protected Information will not constitute or be deemed a waiver or forfeiture—in this or any other federal, state, arbitration, or any other proceeding—of any claim of privilege or work product protection that the Producing Party would otherwise be entitled to assert with respect to the Protected Information and its subject matter.<sup>1</sup>

**2. Notification Requirements; Best Efforts of Receiving Party.** A Producing Party must promptly notify the party receiving the Protected Information (the “Receiving Party”), in writing, that it has disclosed the Protected Information without intending a waiver by the disclosure. The notification by the Producing Party shall include as specific an explanation as possible why the

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<sup>1</sup> This Order was negotiated on behalf of Plaintiffs by Interim Lead Plaintiffs’ Counsel appointed by the Court in Pretrial Order No. 2 to facilitate the early, informal exchange of documents and information to help the parties reach an informed agreement on a stipulated preservation protocol. The terms of this Order shall remain in full force and effect until modified, superseded, or terminated on the record by agreement of the parties or by Order of the Court.

Protected Information is covered by the attorney-client privilege and/or work product protection. Upon such notification, the Receiving Party must—unless it contests the claim of attorney-client privilege or work product protection in accordance with paragraph (3)—promptly (a) notify the Producing Party that it will make best efforts to identify and return, sequester or destroy (or in the case of electronically stored information, delete) the Protected Information and any reasonably accessible copies it has and (b) provide a certification that it will cease further review, dissemination and use of the Protected Information. For purposes of this Order, Protected Information that has been stored on a source of electronically stored information that is not reasonably accessible, such as backup storage media, is sequestered. If such data is retrieved, the Receiving Party must promptly take steps to delete or sequester the restored Protected Information.

**3. Contesting Claims of Privilege or Protection.** If the Receiving Party contests the claim of attorney-client privilege or work product protection, the Receiving Party must—within 30 days of receipt of the notification referenced in Paragraph (2)—move the Court for an Order finding that the material referenced in the notification does not constitute Protected Information. This Motion must be filed (with Court approval) under seal and cannot assert the fact or circumstance of the disclosure as a ground for determining that the material does not constitute Protected Information. Pending resolution of the Motion, the Receiving Party must not use the challenged information in any way or disclose it to any person other than as required by law to be served with a copy of the sealed Motion.

**4. Stipulated Time Periods.** The parties may stipulate to extend the time periods set forth in subparagraphs (2) and (3).

**5. Burden of Proving Privilege or Protection.** Upon challenge pursuant to Paragraph (3), the Producing Party retains the burden of establishing the privileged or protected nature of the Protected Information.

**6. *In Camera* Review.** Nothing in this Order limits the right of any party to petition the Court for an *in camera* review of the Protected Information.

**7. Voluntary and Subject Matter Waiver.** This Order does not preclude a party from voluntarily waiving the attorney-client privilege or work product protection. The provisions of Federal Rule of Evidence 502(a) apply when the Disclosing Party uses or indicates that it may use information produced under this Order to support a claim or defense.

**8. Rule 502(b)(2).** The failure to take reasonable steps to prevent the disclosure shall not give rise to a waiver of the privilege or protection.

**9. Other Clawback and Confidentiality Obligations.** This Order does not affect or rescind any Clawback Agreement or Order governing protection of confidential information to which the parties have otherwise agreed, if any.

**10. Severability.** The invalidity or unenforceability of any provisions of this Order shall not affect the validity or enforceability of any other provision of this Order, which shall remain in full force and effect.

**IT IS SO ORDERED.**

Dated: December 10, 2021

/s/ JOY FLOWERS CONTI  
Joy Flowers Conti  
Senior United States District Court Judge

**AGREED TO AND STIPULATED TO BY:**

/s/ John P. Lavelle, Jr

John P. Lavelle, Jr.

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